

## CERTIFICATE OF MAILING OR TRANSMISSION

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Mailing Name (Print/Type)

L. Stephen Lockett

Signature

Date

04/01/04

UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

4179-128

First Inventor

SHAH, Tilak M.

Title

EXTRUSION LAMINATE POLYMERIC FILM  
ARTICLE AND GASTRIC OCCLUSIVE DEVICE  
COMPRISING SAME

Express Mail Label No.

EV160595755US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages: 27]  
(preferred arrangement set forth below)  
- Descriptive title of the invention  
- Cross Reference to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to sequence listing, a table,  
or a computer program listing appendix  
- Background of the invention  
- Brief Summary of the invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets: 2]
5. Oath or Declaration [Total Sheets: 1]  
a. ☒ Newly executed (original or copy)  
b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)  
i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)  
a. ☐ Computer Readable Form (CRF)  
b. Specification Sequence Listing on:  
i. ☐ CD-ROM or CD-R (2 copies); or  
ii. ☐ paper  
c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i).  
Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation☐ Divisional☐ Continuation-in-part (CIP)

of prior application No.: \_\_\_\_\_

Prior application information:

Examiner

Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.  
The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

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Signature

Date

4/01/04

22387 U.S. PTO  
10/815282

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U.S. PTO

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
PTO/SB/17 (02-04)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

<b>FEE TRANSMITTAL for FY 2004</b>		<b>Complete if Known</b>	
		Application Number	Unassigned
		Filing Date	April 1, 2004
		First Named Inventor	SHAH, TILAK M.
		Examiner Name	Unassigned
<input checked="" type="checkbox"/> Applicant Claims small entity status. See 37 CFR 1.27		Art Unit	Unassigned
TOTAL AMOUNT OF PAYMENT		Attorney Docket No. 4179-128	
(\$)		608.00	

<b>METHOD OF PAYMENT (check all that apply)</b>		<b>FEE CALCULATION (continued)</b>	
<input type="checkbox"/> Check <input checked="" type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None		<b>3. ADDITIONAL FEES</b>	
<input type="checkbox"/> Deposit Account		<b>Large Entity</b>	
Deposit Account Number		Fee Code Fee (\$)	
208-3284		1051 130	
Deposit Account Name		2051 65	
Intellectual Property/Technology Law		Surcharge - late filing fee or oath	
The Director is authorized to: (check all that apply)		Fee Description	
<input type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments		Fee Paid	
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		Surcharge - late provisional filing fee or cover sheet	
		1053 130	
		1053 130	
		Non-English specification	
		1812 2,520	
		1812 2,520	
		For filing a request for <i>ex parte</i> reexamination	
		1804 920*	
		1804 920*	
		Requesting publication of SIR prior to Examiner action	
		1805 1,840*	
		1805 1,840*	
		Requesting publication of SIR after Examiner action	
		1251 110	
		2251 55	
		Extension for reply within first month	
		1252 420	
		2252 210	
		Extension for reply within second month	
		1253 950	
		2253 475	
		Extension for reply within third month	
		1254 1,480	
		2254 740	
		Extension for reply within fourth month	
		1255 2,010	
		2255 1,005	
		Extension for reply within fifth month	
		1401 330	
		2401 165	
		Notice of Appeal	
		1402 330	
		2402 165	
		Filing a brief in support of an appeal	
		1403 290	
		2403 145	
		Request for oral hearing	
		1451 1,510	
		1451 1,510	
		Petition to institute a public use proceeding	
		1452 110	
		2452 55	
		Petition to revive - unavoidable	
		1453 1,330	
		2453 665	
		Petition to revive - unintentional	
		1501 1,330	
		2501 665	
		Utility issue fee (or reissue)	
		1502 480	
		2502 240	
		Design issue fee	
		1503 640	
		2503 320	
		Plant issue fee	
		1460 130	
		1460 130	
		Petitions to the Commissioner	
		1807 50	
		1807 50	
		Processing fee under 37 CFR 1.17(q)	
		1806 180	
		1806 180	
		Submission of Information Disclosure Stmt	
		8021 40	
		8021 40	
		Recording each patent assignment per property (times number of properties)	
		1809 770	
		2809 385	
		Filing a submission after final rejection (37 CFR 1.129(a))	
		1810 770	
		2810 385	
		For each additional invention to be examined (37 CFR 1.129(b))	
		1801 770	
		2801 385	
		Request for Continued Examination (RCE)	
		1802 900	
		1802 900	
		Request for expedited examination of a design application	
		Other fee (specify)	
		SUBTOTAL (3)	
		(\$)	

<b>SUBMITTED BY</b>		<b>Complete (if applicable)</b>	
Name (Print/Type)	Steven J. Hultquist	Registration No. (Attorney/Agent)	28021
Signature		Telephone	(919) 419-9350
		Date	04/01/04

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